

FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Statement as on: September 30, 2023

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	For the Quarter Ended September 30, 2023				Up to the Quarter Ended September 30, 2023				Up to the Quarter Ended September 22, 2022			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ¹	Net Yield (%) ²
1	CENTRAL GOVERNMENT SECURITIES	CGSB	1,11,056.12	1,757.50	6.28	4.70	1,15,753.39	3,757.73	6.47	4.85	1,18,202.38	3,549.16	5.99	4.48
		CTRB	2,498.44	3.11	5.68	4.25	3,886.02	10.23	5.34	3.99	8,727.05	53.60	-	-
		CDSS	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	SGOA	3,127.28	51.46	6.53	4.88	3,130.79	102.99	6.56	4.91	2,861.76	91.44	6.37	4.77
		SGBB	39,767.51	698.20	6.97	5.21	40,665.71	1,444.80	7.09	5.30	43,723.69	1,543.37	7.04	5.27
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	31,238.69	533.62	6.78	5.07	35,490.82	1,203.24	6.76	5.06	28,589.65	898.16	6.27	4.69
	Bonds / Debentures issued by HUDCO	HTHD	12,234.32	227.14	7.37	5.51	13,763.68	475.38	6.89	5.15	19,805.44	643.22	6.48	4.85
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	4,058.86	50.86	7.75	5.80	-	-	-	-
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS		-	-	-	-	-	0.00	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	132.38	18.10	54.25	40.60	109.92	18.10	32.85	24.58	68.67	13.95	41.44	31.01
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	0.00	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	63,912.33	1,128.60	7.01	5.24	63,872.60	2,232.21	6.97	5.22	65,491.30	2,231.82	6.80	5.09
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	9,899.79	195.65	7.84	5.87	9,926.70	394.04	7.92	5.92	5,042.70	192.83	7.63	5.71
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	2,523.32	37.01	7.44	5.56	2,522.62	75.17	7.50	5.61	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	0.00	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	2,498.56	3.37	7.03	5.26	2,498.56	3.37	7.03	5.26	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	-	-	-	-	-	0.00	-	-	1,035.95	30.40	5.85	5.85
	Long Term Bank Bonds Approved Investment-Infrastructure	ILBI	6,602.51	126.85	7.62	5.70	4,570.21	173.56	7.57	5.67	1,014.03	13.54	7.50	5.61
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	897.68	60.31	26.65	19.95	837.25	98.44	23.45	17.55	137.88	8.46	12.24	9.16
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	9,100.24	204.89	8.93	6.68	8,124.04	399.70	9.81	7.34	4,839.55	152.45	6.28	4.70
	Corporate Securities - Bond Taxable	EPBT	3,499.71	50.92	5.77	4.32	3,499.66	101.31	5.77	4.32	3,229.22	92.90	5.74	4.29
	Corporate Securities - (Approved Investment) - Debentures	ECOS	91,805.04	1,754.03	7.58	5.67	89,504.13	3,374.39	7.52	5.63	52,055.33	1,789.37	6.86	5.13
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	2,497.91	6.57	7.38	5.52	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	3,046.67	29.03	6.96	5.21	6,900.15	156.93	7.16	5.35	7,567.30	47.43	-	-
	Deposits - Deposit with Scheduled Banks	ECCB	2,705.74	20.70	5.17	3.87	3,435.34	47.13	5.69	4.26	6,220.32	165.95	5.32	3.98
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	-	-	-	-	-	0.00	-	-	1,000.00	27.46	8.15	6.10
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	0.00	-	-	4,877.46	117.79	5.92	4.43
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	-	-	-	-	-	0.00	-	-	1,002.04	7.91	7.02	5.26
	CCIL - CBLO/TREPS	ECBO	6,330.73	106.78	7.51	5.62	6,340.58	201.24	6.77	5.07	4,087.08	16.40	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	1,227.10	51.70	16.71	12.51	984.91	87.97	17.81	13.33	315.26	-	-	-
6	OTHER INVESTMENTS													
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	1,318.72	160.39	46.57	34.85	934.46	165.41	33.60	25.14	455.59	(0.50)	(0.22)	(0.22)
	Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	0.00	-	-	-	-	-	-
	Debentures	OLDB	-	-	-	-	-	0.00	-	-	-	-	-	-
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	0.00	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	4,770.09	9.67	6.72	5.03	4,770.09	9.67	6.72	5.03	-	-	-	-
	Infrastructure - Equity (including unlisted)	IOEQ	47.52	-	-	-	47.52	0.00	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	102.35	19.43	110.01	82.32	155.05	19.76	30.21	22.61	260.39	3.46	2.65	1.98
	Passively Managed Equity ETF Non Promoter Group)	OETF	282.60	0.96	1.35	1.01	154.76	2.80	3.61	2.70	315.26	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	0.00	-	-	-	-	-	-
	Alternative Investment Fund	OAFB	1,265.37	31.87	9.99	7.48	1,314.29	55.44	8.41	6.30	583.47	21.09	7.21	5.39
	TOTAL		3,99,324.02	7,281.29	7.23	5.41	4,09,015.31	14,668.43	7.15	5.35	3,62,296.76	11,711.67	6.45	4.83

No te: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

⁴ FORM shall be prepared in respect of each fund.

⁵ YTD Income on investment shall be reconciled with figures in P&L and Revenue account

⁶ Investment Regulations, as amended from time to time, to be referred